Docket No.: 03109/100G960-US1

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Roger Alcaly

Application No.: 09/852,222

Confirmation No.: 4229

Filed: May 9, 2001

Art Unit: 3624

For: A METHOD AND SYSTEM FOR GENERATING AN INDEX FOR INVESTMENT RETURNS

Examiner: D. S. Felten

## RESPONSE TO NON-FINAL OFFICE ACTION

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## INTRODUCTORY COMMENTS

In response to the Office Action dated October 19, 2006, Applicant submits the following remarks:

Remarks/Arguments begin on page 2 of this paper.